



## **PARTIALLY AND FULLY UNFUNDED MANDATES**

March 11, 2019

One of the phrases that historically comes up throughout the year, but particularly around budget time is "unfunded mandates." As defined by the U.S. Government Accountability Office, unfunded mandates are Federal statutes and regulations that require state, local, or tribal governments or the private sector to expend resources to achieve legislative goals without being provided federal or state funding to cover the costs.

While these unfunded mandates impact all facets of the town in which they are imposed, they especially impact schools. As all of us are aware, schools make up the largest group for towns in Connecticut. These unfunded mandates are the programs, processes and procedures that must be implemented in all public school districts, by law, yet do not bring any additional dollars to the communities in which these mandates are required. In short, the taxpayer must cover these costs.

While many people have heard of this term, few can specifically identify even a few examples of what unfunded mandates are. In order to give you proper perspective on what our public schools must implement (and pay for) due to unfunded mandates, we have included the list of both partially as well as fully unfunded mandates that our school system is responsible for. The items on these lists may be surprising to you.

### **PARTIALLY UNFUNDED MANDATES**

- Adult Education/Continuing Ed
- ESSA mandates that all students, including those identified for Special Education Services, must achieve goals on standardized tests.
- The State Department of Education requires districts to staff the following positions: Nurse, Guidance, Psychologist, and if needed to provide the services of Occupational Therapist, Physical Therapist and Speech and Language Clinician.
- Excess Cost and Agency Placement Fees
- Tuition for outplaced students
- Administrator and Teacher Evaluation
- Unified Accounting System
- TEAM Program (new teacher mentor program)

### **FULLY UNFUNDED MANDATES**

- ADA Accommodations (transportation/signs/elevator)
- Alternate Education for Expelled Students
- Air Quality Asbestos Training for Building/Grounds
- Staff Background checks and fingerprinting
- Blood Borne Pathogen Training
- Bullying Policy (investigation/record keeping/follow-up)
- Certified staff-Tracking and Reporting Child Abuse Reporting
- Benefits costs as result of CT New Civil Union Legislation
- C.G.S. 10-145: Administrators and Teachers must have appropriate certification
- C.G.S. 10-153: Administrators, Teachers and Classified employees collective bargaining rights.
- Salary & benefits determined by this process, number of staff determined by BOE Professional

- Development Requirements- Replaces Continuing Education Units (CEUs)
- CPR/First Aid and Heimlich Training
- Hepatitis B Screening
- Drug Education
- ED-001: End of Year School Report
- ED-003: Administrator Negotiations
- ED-006S: Public School Information
- ED-014: Minimum Expenditure Compliance Check School Building Projects:
- ED-042: Request for Review of Final Plans
- ED-042CO: Notice of Change Order
- ED-045: Notice of Debt Service
- ED-046: Request for School Construction Progress Payment
- ED-049: Grant App. for School Building Project
- ED-050: School Facilities Survey
- ED-053: Site Analysis
- ED-099: Agreement for Child Nutrition Programs
- ED-103: Reimbursement Claim/Nat'} School Lunch Program
- ED-111 : Cash Management Report
- ED-114: Pre-payment Grant Budget Request
- ED-141: Statement of Expenditures Fed/State Projects ED-156: Fall Hiring Survey
- ED-162: Non-Certified Staff
- ED-163: CT School Data Report
- ED-166: Discipline Offense Report
- ED-165: Data Reporting- Technology
- ED-172: Request 90 Day Certification
- ED-1723: Request Temporary Authorization for Minor Assignments
- ED-175: Special Waiver for Substitute
- ED-177: Request Durational Shortage Area Permit
- ED-186: Application - Temp./Emergency coaching Permits
- ED-236: Immigrant Student Survey Report
- ED-238: Emergency Immigrant Ed Progress Report
- ED-452: Debt Services Claim Form
- ED-540: Graduation Class Report
- ED-612: Language Assessment Data Collection
- Family & Medical Leave Act Freedom of Information
- (FOI) Training Internet Protection Act for Children Health Education (staff)
- Health Insurance Portability and Accountability (HIPAA)
- Internet Protection Act for Children - Report results
- Pesticide Application Policy
- Promotion/Graduation Requirements
- Restraint Training for Special Ed and Support Staff
- Safety and Security Analysis and Mitigation Plan
- School Records and Retention
- School Medical Advisor
- School Transportation/Safety Reporting SEDAC (Special Ed Information System)
- Sexual Harassment Training
- Special Education Due Process (proactive)

- Special Education Coverage at PPTs
- Strategic School Profiles (SSP) data collection/reporting
- Vision Screenings
- Hearing Screenings
- Scoliosis Screenings
- School Medical Advisor
- Related Medical Equipment
- Summer School or other supplemental services for intervention
- Teacher/Administrator Evaluations
- Technology needed to administer the Smarter Balanced State Assessment
- Transportation to Regional VOAG/Technical schools
- Truancy Reporting (10 per year)
- Youth Suicide Prevention 504 Accommodations
- SRBI/RTI- Response to Intervention
- Workers' Compensation Unemployment
- Family and Medical Leave Act (FLMA)
- Submit Federal and State Withholding/FICN Medicare Taxes by pay period
- File Quarterly 941 tax report with the Federal Government
- File Quarterly and Annually the Connecticut Withholding Tax Report
- Maintain I-9s and W-4s and keep current Issue W-2s, 1099Rs and 1099s
- Comply with federal laws regarding 403(b) and 457 deferred compensation plans
- State Municipal Employee Retirement Plan
- Monthly Reporting State Teachers Retirement
- Monthly Reporting Fund GASB 43 & 45
- Annual OSHA Update Training: Asbestos Awareness, B.B.P. Ladder Safety, Lock-out tag out, etc.